1		AN ACT relating to consumer protection in eye care and making an appropriation
2	there	efor.
3	Be it	t enacted by the General Assembly of the Commonwealth of Kentucky:
4		→ Section 1. KRS 367.680 is amended to read as follows:
5	As u	used in KRS 367.680 to 367.690:
6	<u>(1)</u>	"Assessment mechanism":
7		(a) Means automated or virtual equipment, application, or technology designed
8		to be used on a telephone, a computer, or an Internet-based device that may
9		be used either in person or remotely to conduct an eye assessment; and
10		(b) Includes artificial intelligence devices and any equipment, electronic or
11		nonelectronic, that is used to perform an eye assessment;
12	<u>(2)</u>	"Contact lens" means any lens placed directly on the surface of the eye,
13		regardless of whether or not it is intended to correct a visual defect, including any
14		cosmetic, therapeutic, or corrective lens;
15	<u>(3)</u>	"Eye assessment" means an assessment of the ocular health and visual status of
16		a patient that may include but is not limited to objective refractive data or
17		information generated by an automated testing device, including an
18		autorefractor, in order to establish a medical diagnosis for the correction of
19		vision disorders;
20	<u>(4)</u>	"Person" means an individual, corporation, trust, partnership, incorporated or
21		unincorporated association, and any other legal entity;
22	<u>(5)</u>	"Prescription" means a handwritten or electronic order issued by a licensed
23		optometrist, osteopath, or physician, or an oral order issued directly by a licensed
24		optometrist, osteopath, or physician;
25	<u>(6)</u>	"Seller" means an individual or entity that sells contact lenses or visual aid
26		glasses and dispenses them to Kentucky residents in any manner; and
27	<i>(7)</i>	''Visual aid glasses'':

1	<u>(</u>	a) Means eyeglasses, spectacles, or lenses designed or used to correct visual
2		defects, including spectacles that may be adjusted by the wearer to achieve
3		different types or levels of visual correction or enhancement; and
4	<u>(</u>	b) Does not include optical instrument or devices that are:
5	<u>1</u>	. Not intended to correct or enhance vision;
6	<u>2</u>	Sold without consideration of the visual status of the individual who will
7		use the optical instrument or device, including sunglasses that are designed
8		and used solely to filter out light; or
9	<u>3</u>	Completely assembled eyeglasses or spectacles designed and used solely to
10		magnify.
11	[(1) "	Person" means an individual, corporation, trust, partnership, incorporated or
12	u	nincorporated association, and any other legal entity.
13	(2) "	Mail order contact lens seller" means an individual or entity that sells contact
14	1	enses and dispenses them to Kentucky residents through the United States Postal
15	S	Service or other common carrier.
16	(3) "	Contact lens prescription" means a written order bearing the original signature of a
17	1	icensed optometrist, osteopath, or physician, or an oral order issued directly by a
18	1	icensed optometrist, osteopath, or physician that authorizes dispensing of contact
19	1	enses to a patient. A contact lens prescription includes contact lenses without
20	Ħ	ower sold for cosmetic purposes.]
21	=	Section 2. KRS 367.681 is amended to read as follows:
22	(1) A	A contact lens prescription shall include the following:
23	(a) The ophthalmic information necessary to accurately fabricate or dispense the
24		lenses, including the lens manufacturer, lens series, and the lens material if
25		applicable;
26	(b) Power and base curve;
27	(c) Name, license number, telephone number, and for written orders, the signature

1		of the prescribing optometrist, osteopath, or physician;
2		(d) Patient's name and address, expiration date of the prescription, and number of
3		refills or lenses permitted; and
4		(e) The date of issuance.
5	(2)	A contact lens[The] prescription may also include the diameter, axis, add power,
6		cylinder, peripheral curve, optical zone, and center thickness.
7	<u>(3)</u>	A prescription for visual aid glasses shall include the following:
8		(a) The name, license number, telephone number, and for written orders, the
9		signature of the prescribing optometrist, osteopath, or physician;
10		(b) The patient's name and address;
11		(c) The date of issuance; and
12		(d) The value of all parameters the licensed optometrist, osteopath, or physician
13		has deemed necessary to dispense corrective lenses appropriate for a
14		patient.
15	<u>(4)</u>	A licensed optometrist, osteopath, or physician shall not refuse to release a
16		prescription for contact lenses or visual aid glasses to a patient.
17		→ Section 3. KRS 367.683 is amended to read as follows:
18	(1)	All [mail order]contact lens sellers and any person authorized in accordance with
19		KRS Chapters 320, 315, or 326 to dispense contact lenses in the Commonwealth
20		shall verify the contact lens prescription by the following:
21		(a) Receipt of a written or faxed valid contact lens prescription signed by the
22		prescribing optometrist, osteopath, or physician; or
23		(b) An electronic or oral affirmative communication of the complete contact lens
24		prescription from the prescribing optometrist, osteopath, or physician.
25	(2)	If a [mail order]contact lens seller or any person authorized to dispense contact
26		lenses in the Commonwealth finds it necessary to contact the prescribing
27		optometrist, osteopath, or physician via telephone in order to verify a contact lens

1 1	prescription,	the	following	protocols	shall	be foll	lowed:
1	preserration,	UIIC	10110 11115	protocom	DIIGII		to mea.

2 (a) Calls shall be made during regular business hours;

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- 3 (b) Any verification requests shall include the name, address, and telephone number of the patient;
- 5 (c) The toll-free telephone number as required by KRS 367.687(7) shall be included in voice mail or messages left on answering machines;
- 7 (d) Contact lens prescriptions shall not be mailed, sent, delivered, or dispensed 8 before verification by the optometrist, osteopath, or physician;
 - (e) Touch-tone telephone options offered by a [mail-order]contact lens seller or any person authorized to dispense contact lenses in the Commonwealth shall not constitute verification; and
 - (f) Response-time options stated by a [mail order]contact lens seller or any person authorized to dispense contact lenses in the Commonwealth shall not constitute verification.
- 15 (3) In the absence of a prescription as defined and described in KRS 367.680 and 367.681, it shall be a violation of KRS 367.680 to 367.690 to dispense contact lenses through the mail or otherwise to a Kentucky resident.
- Section 4. KRS 367.684 is amended to read as follows:
- lenses <u>or visual aid glasses</u> in the Commonwealth who fills a [contact lens] prescription bears the full responsibility for the accurate dispensing of the contact lenses <u>or visual aid glasses</u> provided under the [contact lens] prescription. At no time shall any changes or substitutions be made including brand, type of lenses, or ophthalmic parameters without the direction of the optometrist, osteopath, or physician who issued the contact lens <u>or visual aid glasses</u> prescription.
- 26 (2) The optometrist, osteopath, or physician shall not be liable for any damages for injury resulting from the packaging, manufacturing, or dispensing of the contact

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1 lenses or visual aid glasses unless the [contact lens] seller and the [contact lens]

- 2 prescriber are the same person.
- 3 → Section 5. KRS 367.685 is amended to read as follows:
- 4 A contact lens fitting shall be complete and a contact lens prescription may be written
- 5 when:
- 6 (1) The optometrist, osteopath, or physician has completed all measurements, tests, and
- 7 examinations necessary to satisfy his or her professional judgment that the patient is
- 8 a viable candidate to wear contact lenses, recognizing that more than one (1) visit
- 9 between the patient and the optometrist, osteopath, or physician may be required;
- 10 and
- 11 (2) Contact lenses suitable for the patient's eyes have been evaluated and fitted by the
- 12 optometrist, osteopath, or physician to the patient's eyes and the optometrist,
- 13 osteopath, or physician is satisfied with the fitting based on ocular health and the
- 14 visual needs of the patient.
- 15 The patient shall be entitled to receive a copy of the contact lens prescription until its
- 16 expiration date.
- 17 → Section 6. KRS 367.686 is amended to read as follows:
- 18 No person located outside of Kentucky shall ship, mail, deliver, or sell contact lenses or
- 19 visual aid glasses to a patient at a Kentucky address unless:
- Registered with the Attorney General of the Commonwealth of Kentucky; and 20 (1)
- 21 In possession of a valid contact lens or visual aid glasses prescription as defined (2)
- 22 and described in KRS 367.680 and 367.681.
- 23 → Section 7. KRS 367.687 is amended to read as follows:
- 24 The Attorney General shall require and provide for the annual registration of all [mail]
- 25 order contact lens and visual aid glasses sellers located outside of the Commonwealth
- 26 that dispense contact lenses or visual aid glasses to Kentucky residents, including those
- 27 providing contact lenses or visual aid glasses via the Internet. A [mail order] contact lens

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1	or 1	visual aid glasses seller's registration shall be granted upon the disclosure and
2	certi	fication by the seller of all of the following:
3	(1)	The seller is licensed or registered to distribute contact lenses or visual aid glasses
4		in the state in which the dispensing facility is located and from which the contact
5		lenses and visual aid glasses are dispensed;
6	(2)	The location, names, and titles of all owners, partners, corporate officers, and the
7		person who is responsible for overseeing the dispensing of contact lenses and visual
8		aid glasses to residents of this state;
9	(3)	The seller has complied with and shall continue to comply with all lawful directives
10		and appropriate requests for information from the appropriate agency of each state
11		in which the seller is licensed or registered;
12	(4)	The seller shall respond to all requests for information from the Attorney General
13		within thirty (30) days from receipt of the request;
14	(5)	The seller shall maintain records of contact lenses <u>and visual aid glasses</u> dispensed
15		to residents of this state for a period of ten (10) years, and that the records shall be
16		readily available for inspection by the Attorney General upon demand;
17	(6)	The seller shall provide a toll-free telephone service during its regular hours of
18		operation for the sole purpose of responding to the patients in this state concerning
19		questions and complaints. All questions relating to eye care shall be referred to the
20		doctor prescribing the contact lenses or visual aid glasses;
21	(7)	The seller shall provide a toll-free telephone service during its regular hours of

- operation solely for optometrists, osteopaths, and physicians.

 23 (8) The seller shall provide the following or a substantially equivalent written
- notification to the patient whenever contact lenses are supplied: WARNING: IF
 YOU ARE HAVING ANY OF THE FOLLOWING SYMPTOMS REMOVE
 YOUR CONTACT LENSES IMMEDIATELY AND CONSULT YOUR EYE
 CARE PRACTITIONER BEFORE WEARING YOUR LENSES AGAIN:

1	UNEXPLAINED EYE DISCOMFORT, WATERING, VISION CHANGE, OR
2	REDNESS; and
3	(9) The seller's license or registration, in the state in which the seller is licensed or
4	registered, has not been suspended or revoked, but should the seller be the subject
5	of any investigation undertaken by the licensing or registering state, or should the
6	seller's license or registration be suspended or revoked, then the seller shall
7	immediately notify the Attorney General of such actions.
8	→SECTION 8. A NEW SECTION OF KRS 367.680 TO 367.690 IS CREATED
9	TO READ AS FOLLOWS:
10	(1) A person shall not operate an assessment mechanism to conduct an eye
11	assessment or to generate a prescription for contact lenses or visual aid glasses to
12	a patient at a Kentucky residence, unless:
13	(a) Diagnostic information and data, including photographs and scans,
14	gathered by the assessment mechanism are read and interpreted by a
15	Kentucky-licensed optometrist, osteopath, or physician;
16	(b) The Kentucky-licensed optometrist, osteopath, or physician can verify the
17	identity of the patient requesting treatment via the assessment mechanism;
18	(c) The assessment mechanism is approved by the federal Food and Drug
19	Administration (FDA) or any third party certification program endorsed by
20	the FDA for the intended use;
21	(d) The assessment mechanism is designed and operated in a manner that
22	provides any applicable accommodation required by the federal Americans
23	with Disabilities Act, 42 U.S.C. sec. 12101 et seq., as amended;
24	(e) The assessment mechanism and accompanying technology used for the
25	collection and transmission of information and data, including photographs
26	and scans, gathers and transmits protected health information in
27	compliance with the federal Health Insurance Portability and

1		Accountability Act of 1996 as amended;
2	<u>(f)</u>	The procedure for which the assessment mechanism is used has a
3		recognized Current Procedural Terminology code maintained by the
4		American Medical Association;
5	<u>(g)</u>	The assessment mechanism prominently displays the name and Kentucky
6		state license number of the optometrist, osteopath, or physician who wil
7		read and interpret the diagnostic information and data, including
8		photographs and scans;
9	<u>(h)</u>	The owner or lessee of the assessment mechanism maintains liability
10		insurance in an amount adequate to cover claims made by individuals
11		diagnosed or treated based on information and data, including photographs
12		and scans, generated by the assessment mechanism;
13	(i)	The assessment mechanism creates and maintains a medical record for
14		each patient, which is for use during the ongoing treatment of a patient,
15		and complies with all state and federal laws regarding maintenance and
16		accessibility;
17	<u>(j)</u>	There is simultaneous interaction between the patient and the Kentucky
18		licensed optometrist, osteopath, or physician; and
19	<u>(k)</u>	The Kentucky-licensed optometrist, osteopath, or physician utilizing an
20		assessment mechanism provides a handwritten or electronic signature
21		certifying their evaluation, treatment, prescription, or consultation
22		recommendations of the patient.
23	(2) A p	erson shall not operate an assessment mechanism to conduct an eye
24	asse	ssment or to generate a prescription to a patient under the age of eighteen
25	<u>(18)</u>	years old at a Kentucky residence.
26	(3) A p	erson shall not operate an assessment mechanism to conduct an eye
27	asse	ssment or to generate a prescription to a patient at a Kentucky residence

1		unless the patient has received an in-person comprehensive eye health
2		examination by an optometrist, osteopath, or physician within the previous
3		twenty-four (24) months.
4	<u>(4)</u>	Evaluation, treatment, and consultation recommendations by a Kentucky-
5		licensed optometrist, osteopath, or physician utilizing an assessment mechanism
6		as required in this section, including issuing a prescription via electronic means,
7		shall be held to the same standards of appropriate practice as those in traditional
8		in-person clinical settings.
9		→ Section 9. KRS 367.688 is amended to read as follows:
10	The	Attorney General shall charge a fee for investigation and registration of nonresident
11	disp	ensers of contact lenses and visual aid glasses.
12		→ Section 10. KRS 367.690 is amended to read as follows:
13	(1)	Any person who dispenses, offers to dispense, or attempts to dispense contact lenses
14		or visual aid glasses in violation of KRS 367.680 to 367.690 or the administrative
15		regulations promulgated by the Attorney General concerning the dispensing of
16		contact lenses or visual aid glasses shall, in addition to any other penalty provided
17		by law, pay a civil penalty to the office of the Attorney General in an amount not to
18		exceed eleven thousand dollars (\$11,000)[five thousand dollars (\$5,000)] for each
19		violation.
20	(2)	Any person charged in a complaint filed by the Attorney General with violating any
21		of the provisions of KRS 367.680 to 367.690 shall be entitled to an administrative
22		hearing conducted in accordance with [the provisions of]KRS Chapter 13B.
23	(3)	Any person aggrieved by a final order issued under the authority of this section shall
24		have the right of an appeal by filing a petition with the Franklin Circuit Court in
25		accordance with KRS Chapter 13B.
26		→SECTION 11. A NEW SECTION OF KRS 367.680 TO 367.690 IS CREATED
27	TO I	READ AS FOLLOWS:

1 KRS 367.680 to 367.690 may be cited as the Consumer Protection in Eye Care Act.